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Express Mail No. EV035493765US

Attorney Docket No. P5797

Client/Matter No. 80168.0238

11-27-02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Keith Hankin, Ching-Wen Alan Chu, Nirupama Mallayarupu, and James Kong

Serial No. 09/940,580

Filed: August 29, 2001

For: SYSTEM AND METHOD FOR PROVIDING A PERSISTENT OBJECT FRAMEWORK FOR MANAGING PERSISTENT OBJECTS

Group Art Unit: 2171

Examiner:

Confirmation No.: 5009

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97Assistant Commissioner for Patents  
Washington, D.C. 20231

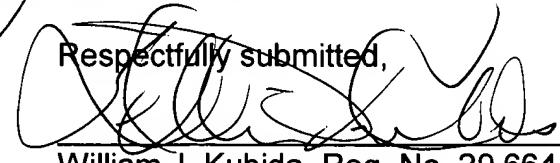
Sir:

Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. In submitting these references, no representation is made or implied that the references are or are not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of all such patents and publications are attached hereto.

No fee is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Signed on 12 November 2002

Respectfully submitted,



William J. Kubida, Reg. No. 29,664  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
(303) 899-7333 Fax



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Assistant Commissioner for Patents  
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Sir:

The undersigned hereby certifies that the attached:

1. Information Disclosure Statement;
2. Form PTO/SB/08A, with references;
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relating to the above application, were deposited as "Express Mail" Mailing Label No. EV035493765US, with the United States Postal Service, addressed to The Assistant Commissioner for Patents, Washington, D.C., 20231 on 12 November 2002

12 November 2002  
Date

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Date

Mailer

William J. Kubida, Reg. No. 29,664  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
(303) 899-7333 Fax